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1095 7590 04/03/2009

~~XXXXXXXXXXXXXXXXXXXX~~ McCarter & English, LLP
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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/527,628	05/25/2005	Prasad Koteswara Kapa	117-0014703	9537

TITLE OF INVENTION: PROCESS FOR PREPARING N-HYDROXYFORMAMIDO-PROPYL PYRROLIDINE COMPOUNDS AND INTERMEDIATES

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	07/06/2009

EXAMINER	ART UNIT	CLASS-SUBCLASS
RODRIGUEZ-GARCIA, VALERIE	1626	548-537000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).
- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
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2. For printing on the patent front page, list
- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 - (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 McCarter & English, LLP
2 Elizabeth A. Hanley
3 Joshua K. Roth

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

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Novartis AG

Basel, Switzerland

Please check the appropriate assignee category or categories (will not be printed on the patent):

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- 5.
- Change in Entity Status**
- (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature /Elizabeth A. Hanley/

Date July 2, 2009

Typed or printed name Elizabeth A. Hanley

Registration No. 33,505

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